

Receipt
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To: U. S. Patent and Trademark Office Attention: Filing Receipt
Date: September 27, 2005
Fax # 703-746-9195 Pages 8
From: SCULLY, SCOTT, MURPHY & PRESSER

Re: Kazuhiko Inoue, et al.
U.S. Patent Appln. No. 10/518,859
BIODEGRADABLE RESIN, BIODEGRADABLE RESIN
COMPOSITION, BIODEGRADABLE MOLDED BODY,
METHOD OF PRODUCING BIODEGRADABLE RESIN
Docket: 18493

COMMENTS:

The Filing Receipt for the above-identified Patent Application is missing the Assignment for Published Patent Application. Also Customer #23389 is for Correspondence Address only. It should read:

**ASSIGNMENT FOR PUBLISHED PATENT APPLICATION:
NEC CORPORATION OF TOKYO, JAPAN**

**POWER OF ATTORNEY: FRANK S. DIGILIO, REG. #31,346, PAUL J.
ESATTO JR., REG. #30,749 MARK J. COHEN, REG. #32,211**

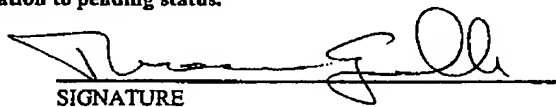
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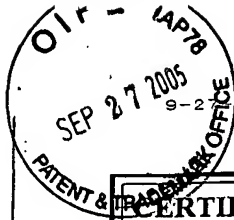
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FORM PTO-1390 (Modified) (REV. 07-2004)		U.S. PATENT AND TRADEMARK OFFICE, U.S. DEPARTMENT OF COMMERCE		ATTORNEY'S DOCKET NUMBER 18493	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
INTERNATIONAL APPLICATION NO. PCT/JP03/07594		INTERNATIONAL FILING DATE 16 June 2003 (16.06.2003)		PRIORITY DATE CLAIMED 17 June 2002 (17.06.2002)	
TITLE OF INVENTION BIODEGRADABLE RESIN, BIODEGRADABLE RESIN COMPOSITION, BIODEGRADABLE MOLDED BODY, METHOD OF PRODUCING BIODEGRADABLE RESIN					
APPLICANT(S) FOR DO/EO/US KAZUHIKO INOUE, MIDORI YAMASHIRO, MASATOSHI IJI					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 					
Items 13 to 23 below concern document(s) or information included:					
<ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV-492-665-760-US 23. <input checked="" type="checkbox"/> Other items or information: <div style="border: 1px solid black; border-radius: 15px; padding: 5px; display: inline-block;">Assignee: NEC Corporation of Tokyo, Japan</div> 					

U.S. APPLICATION NO (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP03/07594		ATTORNEY'S DOCKET NUMBER 18493	
24. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)) :				CALCULATIONS PTO USE ONLY	
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO				\$1110.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO				\$950.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO				\$790.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)				\$750.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	88 - 20 =	68	x \$50.00	\$3,400.00	
Independent claims	5 - 3 =	2	x \$200.00	\$400.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$4,800.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$4,800.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
TOTAL NATIONAL FEE =				\$4,800.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$4,800.00	
				Amount to be:	\$
				refunded	
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$4,800.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-1013 SSMP</u> d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO: Paul J. Esatto, Jr. [19] Correspondence Address Customer Number 23389					
 SIGNATURE					
Thomas Spinelli NAME					
39,533 REGISTRATION NUMBER					
December 17, 2004 DATE					



9-27-05; 11:46AM:SSMP

:5167424366

4/ 8

CERTIFICATE OF MAILING / "EXPRESS MAIL" (37 CFR 1.10), Applicant(s): KAZUHIKO INOUE, et al.		Docket No. 18493
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Application No. unassigned	Filing Date herewith	Examiner unassigned	Customer No. 23389	Group Art Unit unassigned
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Invention: **BIODEGRADABLE RESIN, BIODEGRADABLE RESIN COMPOSITION, BIODEGRADABLE MOLDED BODY, METHOD OF PRODUCING BIODEGRADABLE RESIN**

I hereby certify that the following correspondence:

NEW U.S. APPLICATION UNDER 35 U.S.C. 371

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

December 17, 2004

(Date)

Thomas Spinelli

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV-492-665-760-US

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PD6A/REV03

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Stephen D. Murphy, Reg. No.: 22,002

Paul J. Esatto, Reg. No.: 30,749

William C. Roch, Reg. No.: 24,972

Mark J. Cohen, Reg. No.: 32,211

Frank S. DiGiglio, Reg. No.: 31,346

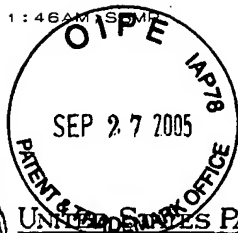
Donald T. Black, Reg. No.: 27,999

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Garden City, New York 11530

Direct Telephone Calls to: (name and telephone number)
Paul J. Esatto, Jr. (516) 742-4343

Full name of sole or first inventor	
Kazuhiko INOUE	
Sole or first inventor's signature	Date
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Residence	
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Citizenship	
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Full name of second inventor, if any	
Midori YAMASHIRO	
Second inventor's signature	Date
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Japan	



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/518,859	12/17/2004	1711	4900	18493		88	6

CONFIRMATION NO. 5819

23389
SCULLY SCOTT MURPHY & PRESSER, PC
400 GARDEN CITY PLAZA
SUITE 300
GARDEN CITY, NY 11530

FILING RECEIPT

OC000000016969197

Date Mailed: 09/13/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kazuhiko Inoue, Tokyo, JAPAN;
Midori Yamashiro, Tokyo, JAPAN;
Masatoshi Iji, Tokyo, JAPAN;

Should read: NEC Corporation of Tokyo, Japan

Power of Attorney: The patent practitioners associated with Customer Number 23389.

Frank S. DiGiglio, Reg. No. 31,346, Paul J. Ksaft, Jr., Reg. # 30,749
Domestic Priority data as claimed by applicant
This application is a 371 of PCT/JP03/07594 06/16/2003
Mark J. Cohen, Reg. # 32,211

Foreign Applications

JAPAN 2002-175646 06/17/2002

Projected Publication Date: 12/15/2005

Non-Publication Request: No

Early Publication Request: No

Title

Biodegradable resin, biodegradable resin composition, biodegradable molded object, and process

for producing biodegradable resin

Preliminary Class

521

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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Page 3 of 3

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